

A Process Cycle View on Utilizing Security and Privacy Research to Realize Novel Forms of Industrial Applications and Collaboration *Collaboration is not Evil:*

Our journey in security research for industrial use

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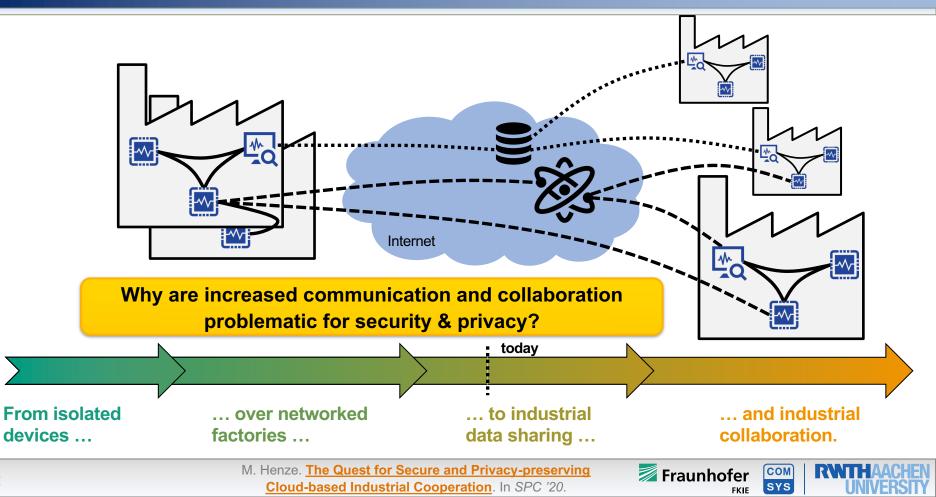
Jan Pennekamp, Martin Henze

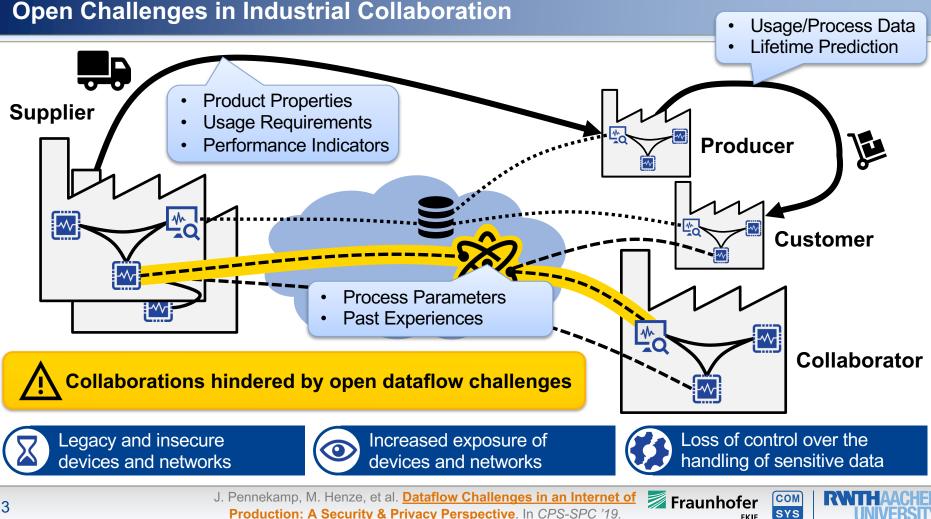
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https://www.comsys.rwth-aachen.de/

"Austin" / LASER Workshop, 8th December 2020

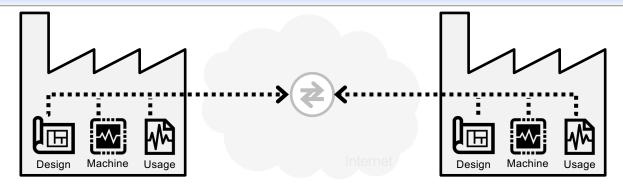
Moving from Industrial Communication to Collaboration





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ACSAC 2020: Privacy-Preserving Production Process Parameter Exchange

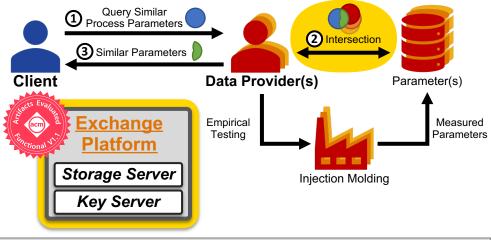


- Isolated knowledge
- Currently no privacypreserving access
 - Concerns about data leaks, loss of control, ...

- Real-world applicable privacypreserving parameter exchange
 - Developed with industry needs in mind
 - Scalable & universal as demonstrated with two real-world use cases

Thursday, Session 4B:

Distributed Systems and Cloud Security



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IKV • INSTITUTE FOR PLASTICS PROCESSING IN INDUSTRY AND CRAFT AT RWTH AACHEN UNIVER



J. Pennekamp, E. Buchholz, Y. Lockner, et al. <u>Privacy-Preserving</u> <u>Production Process Parameter Exchange</u>. In ACSAC '20.

Parameter Exchange

ACSAC 2020 (evaluated using two use cases)

Supply Chain Privacy

BIOTCPS 2020 (evaluated using a fine blanking line)

under submission: with a manufacturer of electric vehicles

Industrial Security Measurements

IMC 2020 (including responsible disclosure)

Company Benchmarking

5

WAHC 2020 (with a real-world benchmark in injection molding)

In-Network Processing Application

under submission: improving a large-scale metrology application

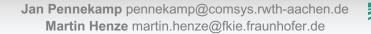


Interdisciplinary Research Cluster

30+ institutes (200 scientists) from various domains (mechanical engineering, material science, ...)



External Use Case	
Identify Use Case	Do not hesitate to interrupt us with questions or comments
Bootstrapping	



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A External opportunity

- Get approached by a practitioner
- Might be a rare situation
 - Today's security possibilities are unclear
 - Conservative companies lack visions

BIdentify a research gap yourself

- Challenging without domain knowledge
- Idea identified through related work
 - No guarantee to match industry needs

Takeaway:

- Identifying use cases is not trivial
 - Requires some domain knowledge
 - Researcher and practitioners might not share/understand realistic visions

• Do your homework!

Look for suitable existing solutions

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Identify similar use cases

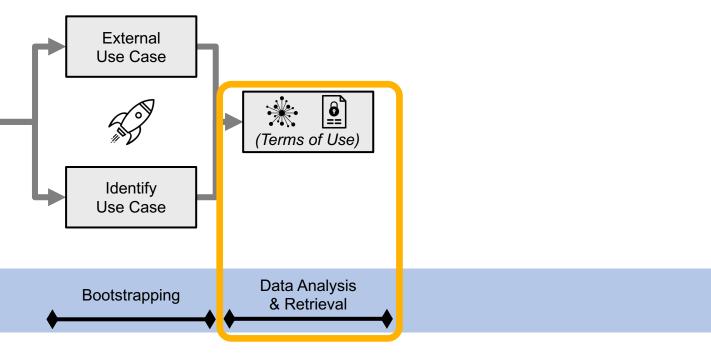
We were approached by an injection molding practitioner and identified a 2^{nd} use case (machine tools) later.

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• Understand what's relevant

- Practitioners might not know either
- Be prepared for no documentation
- Translate (received) information
 - Might be available in Excel only ③
 - The first glance might be misleading

0	. `
# Scaled data pickled with Python 3.7.	
<pre>import pickle test = pickle.load(open('Data_w_g.pkl', 'rb'))</pre>	
test = pickle.load(open(butte_w_g)	
{"1x1 Original":{"x":[[101.0567, 173.0, 226.0, 26.0, 4.9, 18.3], [200.0567	7.
173.0, 226.0, 26.0, 4.9, 18.3], [101.0567, 527.0, 226.0, 26.0, 4.9, 18.3],	
[200.0567, 527.0, 226.0, 26.0, 4.9, 18.3], [101.0567, 173.0, 254.0, 26.0,	4.9,
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[101.0567, 173.0, 254.0, 54.0, 4.9, 18.3], [200.0567, 173.0, 254.0, 54.0,	4.9,

18.3], [101.0567, 527.0, 254.0, 54.0, 4.9, 18.3], [200.0567, 527.0, 254.0, 54.0, 4.9, 18.3], [101.0567, 173.0, 226.0, 26.0, 14.1, 18.3], [200.0567, 173.0 226.0, 26.0, 14.1, 18.3], [101.0567, 527.0, 226.0, 26.0, 14.1, 18.3], [200.0567, 527.0, 226.0, 26.0, 14.1, 18.3], [101.0567, 173.0, 224.0, 26.0,

	A	В	с	D
1	Name	(unique!)	1x1_Brick	1x2_Brick
2	Characteristic	(unique!)		
3	Length	[mm]	30	30
4	Width	[mm]	30	60
5	Height	[mm]	59	59
6	Volume	[mm ³]	12,496,949	21,866,305
7	Shot Volume	[mm ³]	12,496,949	21,866,305
8	Average Wall Thickness	[mm]	1,962,849,116	1,968,220,603
9	Max Wall Thickness	[mm]	2	4
10	Min Wall Thickness	[mm]	2	2
11	Flow Distance	[mm]	56,4	61,4

Takeaway:

- Identifying & getting data is hard
 - Is it even available/accessible?
 - Are we permitted to use it?
 - What kind of processing is needed?
- Thoroughly discuss the use case data and its semantics
 - A correct understanding is key!
 - Any impact on productive systems?
- Apply the required pre-processing







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Recap: Companies might be conservative

- Data is valuable (overall) and sensitive at the same time!
- Initial meetings are usually enthusiastic
 - However: A non-disclosure agreement might be needed

Time-consuming process

- Stakeholders can have different goals The Bad
 - Possibly with impact on the publication
 - Mandatory (lengthy) approval processes
 - Might prevent to publish (negative) findings
 - Legal matters can also affect later dissemination or open-sourcing



- You have a use case to work on 🙂
- You get access to usually "secret" data

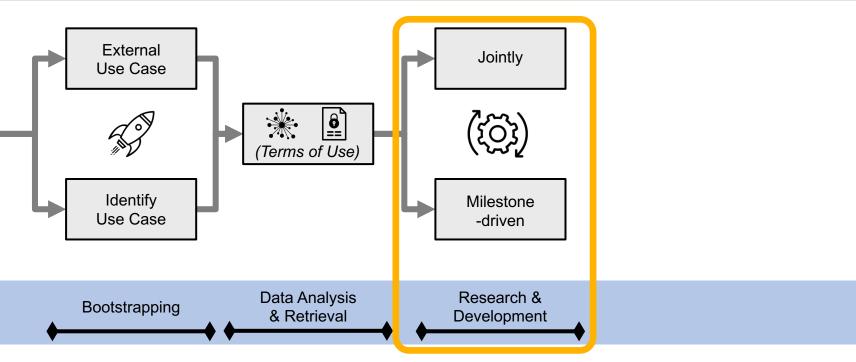
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A Joint feedback loop in place

- Agile process extremely helpful
 - Correct still existing misconceptions
 - Ability to demonstrate increments

B Present milestones only

- In our case a finished prototype
- Risk of solving the wrong "problem"
- Tiresome to get evaluation data
 - Artificial examples cannot make up for real-world use case data
 BIOTCPS

Takeaway:

- A feedback loop is very beneficial
 - Allows to fix mistakes in time
 - Practitioners feel more integrated, fewer risks of dissatisfaction

Scalability needs can be unclear

Future developments still uncertain

A well-communicated development cycle for both sides, with the opportunity to still steer the process.

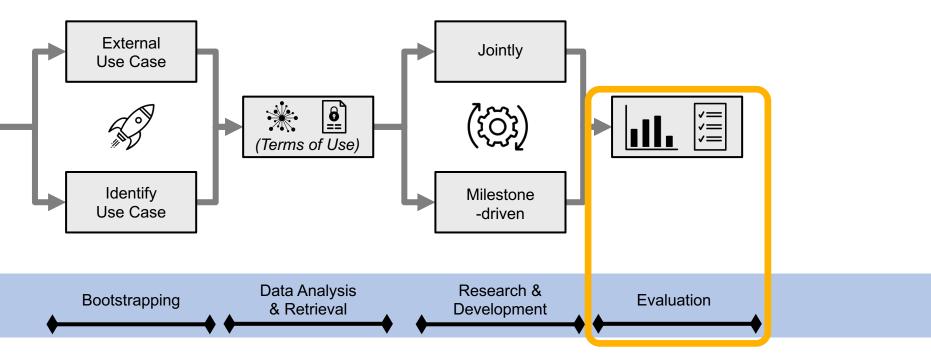
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• Can take significant time!

- We operated on real-world data
- Possibly requires access to industrial machines (in production)
- What do the results entail?
 - Consequences for the use case
 - Are they generalizable/universal?
 - Empirical proof is hard to achieve
- Consider safety aspects
 - Of course, also in all other steps

Takeaway:

- Check for real-world applicability
 - Ideally using original data
 - Is the prototype really suitable?
- Highlight and evaluate use caseindependent security contribution
 - Try to generalize as much as you can

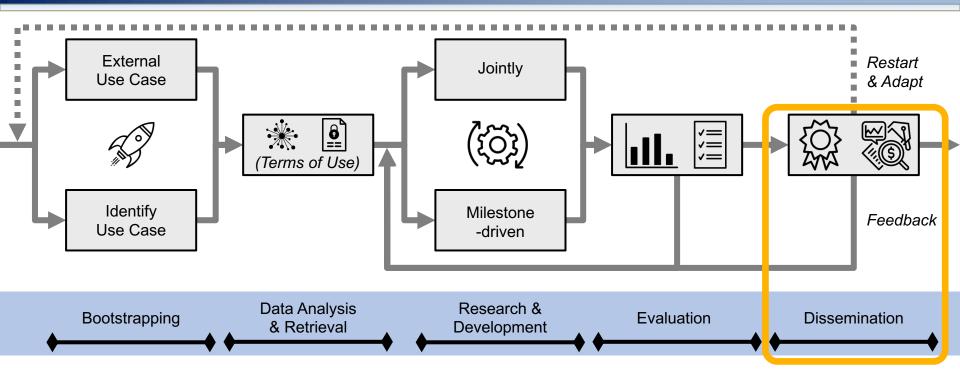
Strong privacy is not feasible for certain real-world settings. Thus, we sacrifice some provider privacy for a 2nd universal design.

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• Research "prototype" only

- Open-sourced and artifacts evaluated
- Trade-off between usability and impact for research needs consideration
 - Especially with practitioners as partners!

Data set-specific challenges

- Remove all critical/leaking aspects
- What about transferability?
 - Other related use cases work differently!

Encourage more work in this area ③

Should be discussed early on

Takeaway:

- Artifacts improve the submission!
- As always, invest as little as possible but as much as needed
- Utilize experience to bootstrap new, more challenging use cases

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github.com/COMSYS/parameter-exchange

Code + Data is publicly available.

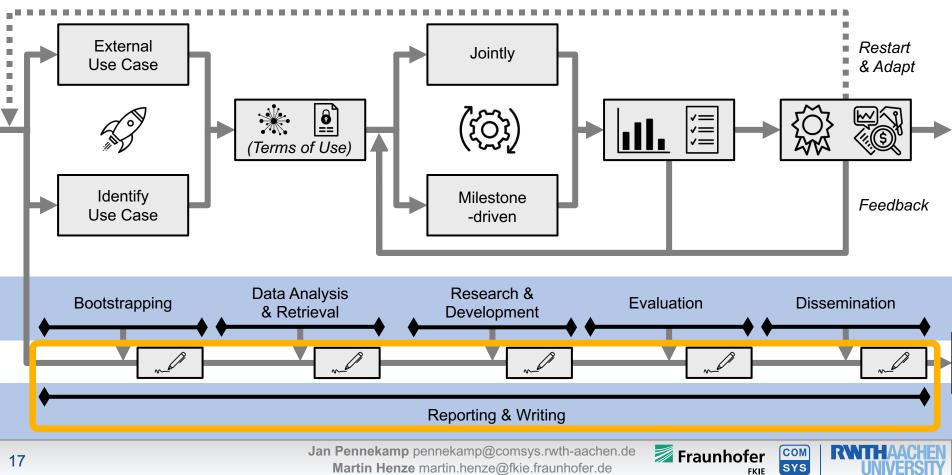
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Organization is challenging

- Different best practices in place
 - Used tools: LaTeX vs. Word, versioning, ...
- (Re-)Approval can take significant time

• Where to submit?

- Security contribution should be the driver, but partly seen as very applied research
- Identify a suitable community and venue
- Reviewers might not understand the practical impact in the application domain

Takeaway:

- Challenging to work in parallel
 - Due to approval and feedback loops

• No last minute changes!

- Prepare yourself (early on)
- Communicate clear expectations
- Consider to submit two papers
 - Focus on individual contributions each

Final publication with 9 authors from 3 departments: Differing publication cultures and expectations.

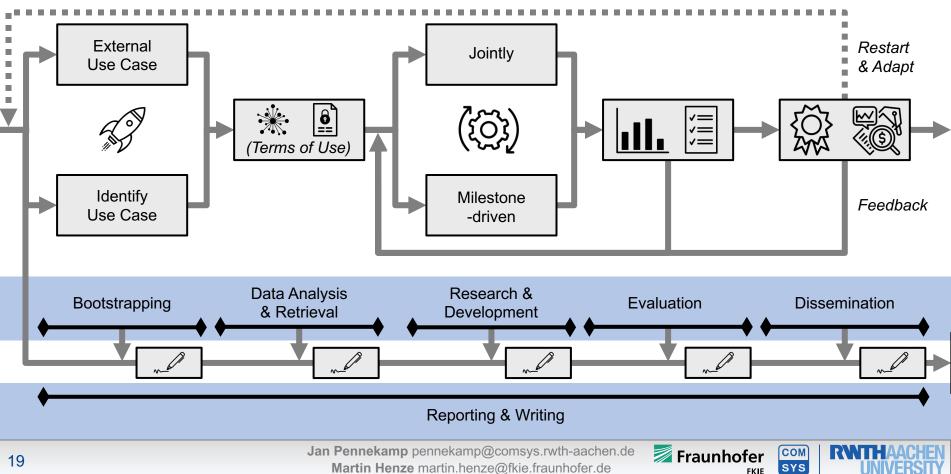
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The Complete Process Cycle of Applied Security Research



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• Communication is key

Questions so far?

- Implicit assumptions (e.g., about existing domain knowledge, realizability, and requirements concerning use case data) from both sides
- Might be a sign for "cutting-edge" research

Do not take anything for granted

Wording / notation / terminology might differ between the domains Unfortunately, it is quite challenging to bridge them and it takes time

Re-using datasets and existing artifacts can be challenging

- Mostly little documentation available
- Specific details are missing
- Overall, only few resources exist



Progress in *secure* industrial collaboration is achievable by carefully bridging the domains

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- Do you consider security research for industrial collaborations worthwhile?
- Are you interested in collaborating on real-world use cases, or are the interdisciplinary challenges and domain differences not worth the effort?
- Are we missing any fundamental (yet trivial?) steps in our process cycle?
- Did you ever experience the challenge to identify a fitting community or to find a venue for your (interdisciplinary) work?
- What is your take on non-disclosure agreements in research?



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Conclusion

Next steps

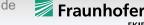
- Getting the currently unpublished papers published ©
- Looking for additional feedback from YOU

Post-workshop paper

- ► A more detailed description of our "findings" and the individual steps
 - Underlined with multiple real-world examples / collaborations
- Integrating input from one or multiple collaborators (considering their applied views)
- Potentially integrate the needs of RDM (research data management) concerning the intersection of security research and applied industry use cases

Thank you for your attention!

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Are YOU

willing to

contribute?

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